IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LANCE E. STEWARD et al. | Examiner: HAYES, Robert Clinton

Serial Number: 10/757,077 | Art Unit: 1649

Filed: January 14, 2004 Confirmation No.: 5352

For: CLOSTRIDIAL NEUROTOXIN

COMPOSITIONS AND MODIFIED CLOSTRIDIAL

NEUROTOXINS | Irvine, California

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant's provide with regard to the patent application entitled CLOSTRIDIAL NEUROTOXIN COMPOSITIONS AND MODIFIED CLOSTRIDIAL NEUROTOXINS, filed herewith one copy of documents of which they are aware, which may be material to the examination of this application, and in respect of which there may be a duty of disclosure under 37 C.F.R. §1.56. A listing of documents submitted is set forth on the attached Information Disclosure Citation (Form PTO-1449).

While these documents may be material pursuant to 37 C.F.R. §1.56, their disclosure is not intended to constitute an admission that the documents are prior art in regard to this invention. The filing of this Statement should not be construed to mean that a search has been conducted or that no other material documents or information exists.

Serial No. 10/757,077 Docket 17355-CIP3 (BOT)

These documents were disclosed in an International Search Report in the foreign counterpart of the above-identified application, and the International

Search Report was received less than three (3) months ago.

The Commissioner is hereby authorized to charge any fees required or necessary for the filing, processing or entering of this paper or any of the enclosed papers, and to refund any overpayment, to deposit account 01-0885.

Please do not hesitate to contact the undersigned should any questions arise regarding this Statement.

Respectfully submitted,

/Dean G. Stathakis/

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